

01-24-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Priority Application Serial No.08/854,102
 Priority Filing Date5/8/1997
 Inventor..... Vong et al.
 Applicant Microsoft Corporation
 Priority Group Art Unit.....2782
 Priority Examiner C. Shin
 Attorney's Docket No.MS1-155USC3
 Title: Handheld Computing Device With External Notification System

Jc670 U.S. PTO
 09/767512
 01/22/01

DIVISIONAL PATENT APPLICATION TRANSMITTAL

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)
 Lee & Hayes, PLLC
 421 W. Riverside Avenue, Suite 500
 Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.


1. Transmittal Letter with Certificate of Mailing included.
2. Fee Transmittal
3. Check in the Amount of \$710.00
4. PTO Return Postcard Receipt
5. Request for Divisional Application Under 37 C.F.R. 1.53 (b)
6. True copy of the prior application as filed including the specification (26 pages, claims 1-39, Abstract & Appendix A), 6 sheets drawings (Figs. 1-7)
7. Copy of Executed Declaration
8. Preliminary Amendment
9. Information Disclosure Statement, including form PTO-1449
10. Substitute Drawing Request
11. 1 Sheet Substitute Drawings (Figs. 2A, 2B, 2C)
12. Copy of Assignment

Large Entity Status ☒ [x]

Small Entity Status ☐ []

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application processing fees under 37 CFR 1.17; and any additional filing fees under 37 CFR 1.16 for the presentation of extra claims.

1
2 Date: Jan 22, 2001

By: 
Lewis C. Lee
Reg. No. 34,656

3
4 **CERTIFICATE OF MAILING**

5 I hereby certify that the items listed above as enclosed are being deposited with the
6 U.S. Postal Service as either first class mail, or Express Mail if the blank for Express
7 Mail No. is completed below, in an envelope addressed to The Commissioner of Patents
8 and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express
9 Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) EL764085351

10 Date: 1/22/2001

By: 
Cheryl K. Boies

EL764085351

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)710.00

Complete if Known

Application Number

Filing Date

First Named Inventor

Vong et al.

Examiner Name

Group Art Unit

Attorney Docket No.

MSI-155 USC 3

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number12-0769Deposit
Account
NameLee v Hayes PLLC

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

| Code (\$) | Code (\$) | Code (\$) | Code (\$) | Code (\$) | Fee Description | Fee Paid |
|-----------|-----------|-----------|-----------|-----------|------------------------|------------|
| 101 | 710 | 201 | 355 | | Utility filing fee | <u>710</u> |
| 106 | 320 | 206 | 160 | | Design filing fee | |
| 107 | 490 | 207 | 245 | | Plant filing fee | |
| 108 | 710 | 208 | 355 | | Reissue filing fee | |
| 114 | 150 | 214 | 75 | | Provisional filing fee | |

SUBTOTAL (1) (\$)710.00

2. EXTRA CLAIM FEES

Total Claims 9 -20** = 0 X 0 = 0
 Independent Claims 1 -3** = 0 X 0 = 0
 Multiple Dependent 0 = 0

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

| Code (\$) | Code (\$) | Code (\$) | Code (\$) | Code (\$) | Fee Description | Fee Paid |
|-----------|-----------|-----------|-----------|-----------|------------------------------------------------------------|----------|
| 103 | 18 | 203 | 9 | | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|--------------|--------------|---------------|---------------|----------------------------------------------------------------------------|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)0

SUBMITTED BY

Name (Print/Type)

Lewis C. LeeRegistration No.
(Attorney/Agent)34,656

Complete (if applicable)

Telephone

509-324-9256

Signature

Lewis C. Lee

Date

Jan 22, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.